

APPLICATION FORM FOR SIP & FLEX SIP
[For Investments through NACH/ Direct Clearing/
Direct Debit Facility/ Standing Instruction]

Important: Please strike out the Section(s) that is/are not used by you to avoid any unauthorised use



April 2022

Please (✓) as applicable:

- ☐ OTM Debit Mandate is already registered in the folio. [No need to submit again]. SIP Auto debit can start in 10 Days i.e. for debit date 15th, form can be submitted till 4th of the month.
- ☐ OTM Debit Mandate is attached and to be registered in the folio. SIP Auto debit will start after mandate registration which takes 10 to 30 days depending on NACH modalities.

Enrolment Form no.

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)						FOR OFFICE USE ONLY (TIME STAMP)
ARN/RIA Code/Stock Broker/ Portfolio Manager Registration Number (PMRN)	ARN/RIA /Stock Broker/ Portfolio Manager's Name	Sub-Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIN)	
ARN-181211					E	
EUIN Declaration (only where EUIN box is left blank) (Refer Item No. 2(l)a) I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/ sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.						
Sign Here First/ Sole Applicant/ Guardian		Sign Here Second Applicant		Sign Here Third Applicant		
Transaction Charges for Applications through Distributors only (Refer Item No. 13)					Date:	D D M M Y Y Y Y
If the total commitment of investment through SIP (i.e. amount per SIP installment X no. of installments) amounts to Rs.10,000 or more and your Distributor has opted to receive transaction Charges, the same are deductible as applicable from the installment amount and payable to the Distributor. In such cases Transaction Charge will be recoverable in 3-4 installments. Units will be issued against the balance of the installment amounts invested.						

Please (✓) any one. In the absence of indication of the option the form is liable to be rejected.

- ☐ NEW REGISTRATION ☐ CANCELLATION (Refer Item No. 7)

1) INVESTOR DETAILS

Application No. (For new investor)/ Folio No. (For existing Unitholder)

First/ Sole Applicant Details

Mobile No. Email Id

NAME OF FIRST / SOLE APPLICANT Mr. Ms. M/s.

NAME OF THE SECOND APPLICANT Mr. Ms. M/s.

NAME OF THE THIRD APPLICANT Mr. Ms. M/s.

Applicant	PAN/ PEKRN* (Mandatory)	KYC Number	KYC Mandatory	Proof Attached
Sole / First Applicant			<input type="checkbox"/>	<input type="checkbox"/>
Second Applicant			<input type="checkbox"/>	<input type="checkbox"/>
Third Applicant			<input type="checkbox"/>	<input type="checkbox"/>
Guardian/POA Holder			<input type="checkbox"/>	<input type="checkbox"/>

Please attach Proof. If PAN/PEKRN/KYC is already validated please don't attach any proof. PEKRN mandatory for Micro SIP. Refer Item No. 11 and 12.

NAME OF THE GUARDIAN (In case of minor) / CONTACT PERSON - DESIGNATION (In case of Non-individual Investors)/ PoA HOLDER

Mr. Ms. M/s.

RELATIONSHIP WITH MINOR

I/WE WOULD LIKE TO INVEST TO MEET MY/OUR FINANCIAL GOALS (choose anyone (✓) (Refer Item No. 15)

☐ Purchase of Residence ☐ Children's Education ☐ Children's Marriage ☐ Retirement ☐ Others Please Specify

Target Amount

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

HDFC MUTUAL FUND	
Date:	Head Office : HDFC House, 2nd Floor, H.T. Parekh Marg, 165-166, Backbay Reclamation, Churchgate, Mumbai - 400 020.
Application/ Folio No.	
Received from Mr./Ms./M/s.	SIP application
Scheme / Plan / Option	Scheme 1
	Scheme 2
ISC Stamp & Signature	

Scheme Name (1)				Plan		Option/Sub-option			
				<input type="checkbox"/> Regular <input type="checkbox"/> Direct					
SIP Installment Amount (₹) _____		Start Month/Year <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">M</div> <div style="border: 1px solid black; padding: 2px;">M</div> <div style="border: 1px solid black; padding: 2px;">Y</div> <div style="border: 1px solid black; padding: 2px;">Y</div> <div style="border: 1px solid black; padding: 2px;">Y</div> <div style="border: 1px solid black; padding: 2px;">Y</div> </div>		End Month/Year (Default Dec 2040)* <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">M</div> <div style="border: 1px solid black; padding: 2px;">M</div> <div style="border: 1px solid black; padding: 2px;">Y</div> <div style="border: 1px solid black; padding: 2px;">Y</div> <div style="border: 1px solid black; padding: 2px;">Y</div> <div style="border: 1px solid black; padding: 2px;">Y</div> </div>		SIP Frequency (Please refer Instruction 6) <input type="checkbox"/> Daily** <input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Yearly			
<p>SIP Date (Please (✓) one or more of the following dates) (Please refer Instruction 7)</p> <div style="display: flex; flex-wrap: wrap; justify-content: space-between;"> <div><input type="checkbox"/> 1st</div> <div><input type="checkbox"/> 2nd</div> <div><input type="checkbox"/> 3rd</div> <div><input type="checkbox"/> 4th</div> <div><input type="checkbox"/> 5th</div> <div><input type="checkbox"/> 6th</div> <div><input type="checkbox"/> 7th</div> <div><input type="checkbox"/> 8th</div> <div><input type="checkbox"/> 9th</div> <div><input type="checkbox"/> 10th⁺</div> <div><input type="checkbox"/> 11th</div> <div><input type="checkbox"/> 12th</div> <div><input type="checkbox"/> 13th</div> <div><input type="checkbox"/> 14th</div> <div><input type="checkbox"/> 15th</div> <div><input type="checkbox"/> 16th</div> </div> <div style="display: flex; flex-wrap: wrap; justify-content: space-between;"> <div><input type="checkbox"/> 17th</div> <div><input type="checkbox"/> 18th</div> <div><input type="checkbox"/> 19th</div> <div><input type="checkbox"/> 20th</div> <div><input type="checkbox"/> 21st</div> <div><input type="checkbox"/> 22nd</div> <div><input type="checkbox"/> 23rd</div> <div><input type="checkbox"/> 24th</div> <div><input type="checkbox"/> 25th</div> <div><input type="checkbox"/> 26th</div> <div><input type="checkbox"/> 27th</div> <div><input type="checkbox"/> 28th</div> <div><input type="checkbox"/> 29th</div> <div><input type="checkbox"/> 30th</div> <div><input type="checkbox"/> 31st</div> </div>									
<p>For Weekly SIP (Please (✓)) <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday⁺ <input type="checkbox"/> Thursday <input type="checkbox"/> Friday</p>									
<input type="checkbox"/> SIP TOP-UP (✓) Not available for Daily and Weekly SIP Amount (₹) ^ _____ OR Percentage^s (%) _____ Frequency (✓): <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly ⁺					<p>SIP TOP-UP CAP CAP Amount*: ₹ _____ OR <div style="display: flex; align-items: center;"> CAP Month-Year^o: <div style="border: 1px solid black; padding: 2px; margin-left: 5px;">M</div> <div style="border: 1px solid black; padding: 2px; margin-left: 5px;">M</div> <div style="border: 1px solid black; padding: 2px; margin-left: 5px;">Y</div> <div style="border: 1px solid black; padding: 2px; margin-left: 5px;">Y</div> <div style="border: 1px solid black; padding: 2px; margin-left: 5px;">Y</div> <div style="border: 1px solid black; padding: 2px; margin-left: 5px;">Y</div> </div> </p> <p><i>(Investor has to choose only one option)</i></p>				

Scheme Name (2)				Plan		Option/Sub-option						
				<input type="checkbox"/> Regular <input type="checkbox"/> Direct								
SIP Installment Amount (₹) _____			Start Month/Year		End Month/Year (Default Dec 2040)*			SIP Frequency (Please refer Instruction 6) <input type="checkbox"/> Daily**				
			<div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>		<div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>			<input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Yearly				
SIP Date (Please (✓) one or more of the following dates) (Please refer Instruction 7)												
<input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th <input type="checkbox"/> 9th <input type="checkbox"/> 10th+ <input type="checkbox"/> 11th <input type="checkbox"/> 12th <input type="checkbox"/> 13th <input type="checkbox"/> 14th <input type="checkbox"/> 15th <input type="checkbox"/> 16th												
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For Weekly SIP (Please (✓) <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday* <input type="checkbox"/> Thursday <input type="checkbox"/> Friday												
<input type="checkbox"/> SIP TOP-UP (✓) Not available for Daily and Weekly SIP						SIP TOP-UP CAP						
Amount (₹) ^ _____ OR Percentage ^s (%) _____						CAP Amount*: ₹ _____ OR						
Frequency (✓): <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly*						CAP Month-Year*:						
						<div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>						
(Investor has to choose only one option)												

Scheme Name (3)				Plan		Option/Sub-option					
				<input type="checkbox"/> Regular <input type="checkbox"/> Direct							
SIP Installment Amount (₹) _____		Start Month/Year <div> <div>M</div><div>M</div> <div>Y</div><div>Y</div><div>Y</div><div>Y</div> </div>		End Month/Year (Default Dec 2040)* <div> <div>M</div><div>M</div> <div>Y</div><div>Y</div><div>Y</div><div>Y</div> </div>		SIP Frequency (Please refer Instruction 6) <input type="checkbox"/> Daily** <input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Yearly					
SIP Date (Please (✓) one or more of the following dates) (Please refer Instruction 7) <div> <input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th <input type="checkbox"/> 9th <input type="checkbox"/> 10th+ <input type="checkbox"/> 11th <input type="checkbox"/> 12th <input type="checkbox"/> 13th <input type="checkbox"/> 14th <input type="checkbox"/> 15th <input type="checkbox"/> 16th <input type="checkbox"/> 17th <input type="checkbox"/> 18th <input type="checkbox"/> 19th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 22nd <input type="checkbox"/> 23rd <input type="checkbox"/> 24th <input type="checkbox"/> 25th <input type="checkbox"/> 26th <input type="checkbox"/> 27th <input type="checkbox"/> 28th <input type="checkbox"/> 29th <input type="checkbox"/> 30th <input type="checkbox"/> 31st </div>											
For Weekly SIP (Please (✓)) <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday+ <input type="checkbox"/> Thursday <input type="checkbox"/> Friday											
<input type="checkbox"/> SIP TOP-UP (✓) Not available for Daily and Weekly SIP Amount (₹) ^ _____ OR Percentage^s (%) _____ Frequency (✓): <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly*				SIP TOP-UP CAP CAP Amount*: ₹ _____ OR				CAP Month-Year[#]: <div> <div>M</div><div>M</div> <div>Y</div><div>Y</div><div>Y</div><div>Y</div> </div>			
				(Investor has to choose only one option)							

Default, if not selected. • ⁺⁺ Triggered and processed only on all Business Days and SIP TOP up facility shall not be available. • ^{##} Triggered and processed on the day opted by the investor. If the day opted falls on non-business day, it will be triggered and processed on the next business day and SIP TOP up facility shall not be available. • In case of Quarterly SIP, only the Yearly option is available as SIP Top-Up frequency. • ^ TOP UP amount has to be in multiples of Rs.100 only. Please see Instruction 7(c){i}. • \$The minimum TOP UP Percentage has to be 10% and in multiples of 1% thereafter, of the existing SIP installment. Investors/unit holders subscribing for this facility are required to submit the request at least 30 days prior to the SIP date. Top-up will be applicable from next effective SIP installment.

***TOP-UP CAP amount:** Please refer Instruction 7(c){ii}] **# TOP-UP CAP Month-Year:** Please refer Instruction 7(c){ii}]

First SIP Transaction via Cheque No. **Cheque Dated** **Amount@ (Rs.)**

Mandatory Enclosure (if 1st Installment is not by cheque) ☐ Blank cancelled cheque ☐ Copy of cheque @The first cheque amount should be same as each/total SIP Amount.

The name of the first/ sole applicant must be pre-printed on the cheque.

OTM Bank Details to be debited for the SIP (OTM already Registered)
 Bank Name: Account Number:
NOTE: In case the OTM is not registered, please fill in the attached OTM Debit Mandate.

4) UNIT HOLDING OPTION

☐ DEMAT MODE*☐ PHYSICAL MODE (Default)

(refer instruction 6)

*Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL/CDSL)

NSDL	DP Name	DP ID	I	N								Beneficiary Account No.										
CDSL	DP Name	Beneficiary Account No.																				

*Investor opting to hold units in demat form, may provide a copy of the DP statement for us to match the demat details as stated in the application form.

5) DECLARATION AND SIGNATURE(S)

I/ We hereby confirm and declare as under:-
I/ We have read, understood and agree to comply with the terms and conditions of the scheme related documents of the Scheme and the terms & conditions of enrolment for Systematic Investment Plan (SIP) and of NACH/ Debit Clearing / Direct Debit/ Standing Instruction facilities. I/ We hereby apply to the Trustees for enrolment under the SIP.
The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various mutual Funds from amongst which the Scheme is being recommended to me/us.

SIGNATURE (S)

First/ Sole Unit holder/ Guardian/ POA Holder


Second Unit holder

Third Unit holder

Please note: Signature(s) should be as it appears in the folio/ on the Application Form and in the same order.
In case the mode of holding is joint, all Unit holders are required to sign.

OTM Debit Mandate Form NACH/DIRECT DEBIT/SI

[Applicable for Lumpsum Additional Purchases as well as SIP Registrations received through various modes]



BHAROSA APNO KA

UMRN

OFFICE USE ONLY

Date

Utility Code

OFFICE USE ONLY

Create

Modify

Cancel

Sponsor Bank Code

OFFICE USE ONLY

I/We authorize

HDFC Mutual Fund

To debit (tick✓)

SB/CA/CC/SB-NRE/SB-NRO/OTHER

Bank A/c number

With Bank

IFSC/MICR

an amount of Rupees

₹

Debit Type

Fixed Amount

Maximum Amount

Frequency

Monthly

Quarterly

Half Yearly

Yearly

As & when presented

PAN/PEKRN

Reference 2

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me.

3. I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity/ corporate or the bank where I have authorized the debit.

From

To

Or

Until Cancelled

Phone No.

Signature of Primary Account Holder

Signature of Account Holder

Signature of Account Holder

1. Name as in Bank Records

2. Name as in Bank Records

3. Name as in Bank Records